Summary Sheet

Name of the Trading License: Saini Power Transactor

ails (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Licence Details (No & Date):	96/Trading
Month	Oat 22

S. No.	Formats	Type of Transaction	Transaction Status/Contract Information (Yes/No)
1	Form IV-A	Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC)	No
2	Form IV-B	Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak)	No
3	Form IV-C	Short-term Inter-State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)	No
4	Form IV-D	Long-term Inter-State Transactions of Electricity by Trading Licensees	No
5	Form IV-E	Intra State Transactions of Electricity by Trading Licensees	No
6	Form IV-F	Day Ahead Power Exchange Transactions by Trading Licensees	No
7	Form IV-G	Term Ahead Power Exchange Transactions of Electricity by Trading Licensees	No
8	Form IV-H	Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees	Yes
9	Form IV-I	Details of Over the Counter (OTC) Contracts Executed by Electricity Traders in Inter State Market	No
10	Form IV-K	Electricity transacted by Trading Licensees in REAL Time Market on Power Exchanges	No
11	Form IV-L	Green Term Ahead Power Exchange Transactions of Electricity by Trading Licensees	No
12	Form IV-M	Energy Saving Certificates (ESCerts) Trading on Power Exchange by Trading Licensee	No
13	Form IV-N	Green Day Ahead Power Exchange Transactions of Electricity by Trading Licensees	No
14	Form IV-O	High Price Day Ahead (HP-DAM) Power Exchange Transactions of Electricity by Trading Licensees	No

Short-term Inter-State Transactions of Electricity (RTC*)

Name of the Trading License:Saini Power TransactorLicence Details (No & Date):96/Trading Licence/2022/CERC Dated 6th July 2022

Month: Oct-23

	Period of Po	wer Delivery	Time of Pov	ver Delivery	Scheduled	Р	urchased fro	m		Sold to		Purchase		Trading
S. No.	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)	Start Time (HH:MM)	End Time (HH:MM)	Volume (MUs)	Name of the Seller	Category	State	Name of the Buyer	Category	State	Price (₹ /Kwh)	Sale Price (₹ /kwh)	Margin (₹ /kwh)
Α	Inter State Tr	ading Transac	ctions	-										
1	Nil													
2														
3														
В	Inter State Tr	ading Transac	ctions through	Banking arra	angement									
1	Nil													
2														
3														
С	Cross Border	Transactions			-									-
1	Nil													
2														
3														

*RTC= Round The Clock (24 Hours i.e. from 00:00 to 24:00)

Note: (1) Data shall be submitted transaction-wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/buyer namely generator1 captive power plant, distribution licensee, Government, industrial open access consumer, commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an Excel Sheet by E-mail and through Saudamini portal (E-monitoring)

Note: (6) Full form of the abbreviations used for Name of the Seller/Buyer etc. shall be provided at the end of the Table.

Form IV-A

Short-term Inter-State Transactions of Electricity (Peak*)

Name of the Trading License: Saini Power Transactor Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

	Month:		Oct-23											
	Period of Po	wer Delivery	Time of Pov	ver Delivery	Scheduled	Р	urchased from	n		Sold to		Purchase		Trading
S. No.	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)	Start Time (HH:MM)	End Time (HH:MM)	Volume (MUs)	Name of the Seller	Category	State	Name of the Buyer	Category	State	Price (₹/Kwh)	Sale Price (₹ /kwh)	Margin (₹ /kwh)
A	Inter State Tr	ading Transac	ctions											
1	Nil													
2														
3														
В	Inter State Tr	ading Transac	ctions through	Banking arra	angement									
1	Nil													
2														
3														
С	Cross Border	Transactions			-									
1	Nil													
2														
3														

*Peak= Peak Period (Evening Peak from 17:00hrs to 23:00hrs). Peak period may be revised by the Commission form time to time

Note: (1) Data shall be submitted transaction-wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/buyer namely generator1 captive power plant, distribution licensee, Government, industrial open access consumer, commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an Excel Sheet by E-mail and through Saudamini portal (E-monitoring)

Note: (6) Full form of the abbreviations used for Name of the Seller/Buyer etc. shall be provided at the end of the Table.

Short-term Inter-State Transactions of Electricity (Other than Peak & RTC)

Name of the Trading License: Saini Power Transactor Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Oct-23 Month: Period of Power Delivery **Time of Power Delivery** Purchased from Sold to Scheduled Purchase Trading Sale Price End date Start date Start S. No. Margin (₹ Volume Price End Time Name of Name of the (₹/kwh) (DD/MM/ (DD/MM/ Time Category State Category State (₹/Kwh) /kwh) (MUs) (HH:MM) the Seller Buyer YYYY) YYYY) (HH:MM) Inter State Trading Transactions Α 1 Nil 2 3 Inter State Trading Transactions through Banking arrangement B Nil 1 2 3 С **Cross Border Transactions** Nil 1 2 3

Note: (1) Data shall be submitted transaction-wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/buyer namely generator1 captive power plant, distribution licensee, Government, industrial open access consumer, commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an Excel Sheet by E-mail and through Saudamini portal (E-monitoring)

Note: (6) Full form of the abbreviations used for Name of the Seller/Buyer etc. shall be provided at the end of the Table.

Form IV-C

Form IV-D

Long-term Inter-State Transactions of Electricity

Name of the Trading License: Saini Power Transactor Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022 Month:

Oct-23

		D !!	000 -00	n	1 10			G 11.4		
	Period of Po	wer Delivery	Scheduled	P	urchased from	m		Sold to		
S. No.	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)	Volume (MUs)	Name of the Seller	Category	State	Name of the Buyer	Category	State	Sale Price (₹ /kwh)
Α	A Inter State Trading Transactions									
1	Nil									
2										
3										
В	Inter State Tr	ading Transa	ctions through	h Banking ar	rangement		-		-	
1	Nil									
2										
3										
С	Cross Border	Transactions					-			
1	Nil									
2										
3										

Note: (1) Data shall be submitted transaction-wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/buyer namely generator1 captive power plant, distribution licensee, Government, industrial open access consumer, commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an Excel Sheet by E-mail and through Saudamini portal (E-monitoring)

Note: (6) Full form of the abbreviations used for Name of the Seller/Buyer etc. shall be provided at the end of the Table.

Form IV-E

	Intra	-State Transactions of Electricity						
Name o	of the Trading License:	Saini Power Transactor						
Licence	e Details (No & Date):	96/Trading Licence/2022/CERC Dated 6th July 2022						
Month	:	Oct-23						
S. No.	Scheduled Volume (MUs)	State						
Α	Short Term Transactions							
1	Nil]					
2]					
3]					
B	Long Term Transactions]					
1	Nil]					
2]					
3]					

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Month: Oct-23

	Delivery date	Scheduled	Purchas	ed from	Sold to					
S. No.	(DD/MM/ YYYY)	Volume (MUs)	Name of Seller/ Name of PX	State	Name of Seller/ Name of PX	State				
Α	Inter State Tra	er State Trading Transactions								
1	Nil									
2										
3										

Note: Trading Margin shall be charged for each 15 minutes contract separately. However, the reporting shall be made on day-wise basis in light of the large volume of data

Form IV-F

Margin charged in Day Ahead Power Exchange transactions

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022 Month:

Oct-23

S.No	Name of the Client	IEX	PXIL	HPXL
5.110	Name of the Chent	Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Margin charged (₹ /kWh)
1	Nil	-	-	-
2				
3				

Form IV-G

Term Ahead Power Exchange Transactions of Electricity

Name of the Trading License:Saini Power TransactorLicence Details (No & Date):96/Trading Licence/2022

96/Trading Licence/2022/CERC Dated 6th July 2022 Oct-23

	Month:		Oct-23									
	Name of	Period of Po	wer Delivery	Time of Pov	ver Delivery	Scheduled	Purchas	ed from	Solo	l to	Transaction	Trading
S. No.	Power Exchange	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)	Start Time (HH:MM)	End Time (HH:MM)	Volume (MUs)	Name of the Seller	State	Name of the Buyer	State	Price (₹ /kWh)	Margin (₹ /kWh)
Α	Intra-Day Co	ntracts						-				
1	Nil											
2												
3												
В	Day-Ahead C	ontingency Co	ntracts									
1	Nil											
2												
3												
С	Daily Contrac	cts/Any Day co	ontracts		-			-				-
1	Nil											
2												
3												
D	Weekly Contr	acts										
1	Nil											
2												
3												

Note: Any other Contract on the Power Exchange should be included as and when it introduced.

Trading of Renewable Energy Certificates (REC) by Trading Licensees

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022 Month: Oct-23

	Month:	Oct-23							
		Purchas	ed from	Sol	d To		Market Clearing	Trading Margin	
Sr. No.	Mode (through PX/ Bilateral)	al) Name of Seller (Client)/ Power Exchange Exchange Client Type / Power Exchange Exchange Exchange Client Type / Power Exchange		Client Type / Power Exchange	Volume (RECs)	Price/ Sale Price (Rs/REC)	Charged (Rs/kWh)	Remarks	
1	Bilateral	Open Access Generator	Client Type	Open Access Consumer	Obligated Entity	77	₹ 500	≤ 0.07	
2	Through PX	Indian Energy Exchange	Power Exchange	Open Access Consumer	Obligated Entity	2	₹ 420	≤ 0.07	
3	Through PX	Indian Energy Exchange	Power Exchange	Open Access Consumer	Obligated Entity	13	₹ 420	≤ 0.07	
4	Through PX	Indian Energy Exchange	Power Exchange	Open Access Consumer	Obligated Entity	13	₹ 420	≤ 0.07	
5	Through PX	Indian Energy Exchange	Power Exchange	Open Access Consumer	Obligated Entity	11	₹ 420	≤ 0.07	

Form-H

Form IV-I

Details of Over the Counter (OTC) Contracts Executed in Inter-State Market

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022 Month:

Oct-23

	Contract	Purchase		Contracted	Period of	Contract	Purchas	ed From	Sol	d to
S. No.	date (DD/MM/ YYYY)	Price (₹ /kwh)	Sale Price (₹ /kwh)	Quantity (MUs)	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)	Name	State	Name	State
Α	Round The C	lock	•				-	-	-	
1	Nil									
2										
3										
В	Peak									
1	Nil									
2										
3										
С	Other than R	FC & Peak								
1	Nil									
2										
3										

Note: (1) Data shall be submitted on a monthly basis by 15th of the following month to the Commission.

Form IV-K

Electricity transacted in REAL Time Market on Power Exchanges

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Oct-23

Month:

	Delivery date Scheduled		Purchased from	1	Sold to		
S. No.	(DD/MM/ YYYY)	Volume (MUs)	Name of Seller/ Name of PX	State	Name of Seller/ Name of PX	State	Remark
1	Nil						
2							
3							

Note: Trading Margin shall be charged for each 15 minutes contract separately. However, the reporting shall be made on day-wise basis in light of the large volume of data

Form IV-K

Margin Charged for Transactions in Real Time Market on Power Exchanges

Name of the Trading License: Saini Power Transactor

Oct-23

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Month:

S. No	Name of the Client	IEX	PXIL	HPXL	Remark
		Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Kemark
1	Nil	-	-	-	
2					
3					

Form IV-L

Green Term Ahead Power Exchange Transactions of Electricity

Name of the Trading License: Licence Details (No & Date):

96/Trading Licence/2022/CERC Dated 6th July 2022 Oct-23

Saini Power Transactor

	Month:	·	Oct-23			-						
	Name of	Period of Po	Period of Power Delivery Time of		ower Delivery Scheduled		Purchased from		Sold to		Transaction	Trading
S. No.	Power Exchange	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)	Start Time (HH:MM)	End Time (HH:MM)	Volume	Name of the Seller	State	Name of the Buyer	State	Price (₹/kWh)	Margin (₹ //kWh)
Α	Intra-Day Co	ntracts						-				
1	Nil											
2												
3												
В	Day-Ahead C	ontingency Co	ontracts									
1	Nil											
2												
3												
С	Daily Contrac	cts/Any Day co	ontracts									
1	Nil											
2												
3												
D	Weekly Contracts											
1	Nil											
2												
3												

Note: Any other Contract on the Power Exchange should be included as and when it introduced.

Energy Saving Certificates (ESCerts) Trading on Power Exchange

Name of the Trading License: Saini Power Transactor

Oct-23

Licence Details (No & Date):

96/Trading Licence/2022/CERC Dated 6th July 2022

Month:

S. No.	Name of Client	Sector	Client Type (Seller/Buyer)	Name of Power Exchange	Volume of ESCert	Price Discovered (₹/ESCret)	Remarks
1	Nil						
2							
3							

Form IV-M

Margin Charged for Energy Saving Certificates (ESCerts) Trading on Power Exchange

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Month: Oct-23

S. No	Name of the Client	IEX	PXIL	HPXL	Remark
5. NU		Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Kemai k
1	Nil	-	-	-	
2					
3					

Green Day Ahead Power Exchange Transactions

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

•	· ·
Month:	

96/Trading	Licence/2022/CERC	Dated 6t	h July 2	022
Oct-23				

S. No.	Delivery date (DD/MM/ YYYY)	Scheduled Volume (MUs)	Purchased From			Sold to		
			Name of Seller/ Name of PX	Type (Solar/Non- Solar)	State	Name of Buyer/ Name of PX	State	
1	Nil	-	-	-	-	-	-	
2								
3								

Note: Trading Margin shall be charged for each 15 minutes contract separately. However, the reporting shall be made on day-wise basis in light of the large volume of data

Margin charged in Green Day Ahead Power Exchange transactions

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Month: Oct-23

S. No	Name of the Client	IEX	PXIL	HPXL	Remarks
5. 110	Name of the Cheft	Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Margin charged (₹ /kWh)	Kellial Ks
1	Nil	-	-	-	
2					
3					

High Price Day Ahead (HP-DAM) Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Month: Oct-23

I Sr No I		Scheduled Volume	Purchased From			Sold 7		
	Date of Delivery (DD/MM/YYYY)	under HP-DAM (MUs)	Name of Seller/ Name of PX	Type (Imported Coal/ Gas/ BESS)	State	Name of Buyer/ Name of PX	State	Remarks
1	Nil	-	-	-	-	-	-	-
2								
3								

Form-O

Form- O

Margin charged by Trading licensees for transactions in High Price Day Ahead Market on Power Exchanges Name of the Trading License: Saini Power Transactor

Licence Details (No & Date): 96/Trading Licence/2022/CERC Dated 6th July 2022

Month: Oct-23

		IEX	PXIL	НРХ		
Sr. No. Name of the Clie		Margin charged (Rs/kWh) Margin charged (Rs/kWh)		Margin charged (Rs/kWh)	Remarks	
1	Nil	-	-	-	-	
2						
3						